

## SANDICOR CITATION SCHEDULE OF FINES

Violations may result in a hearing with the possibility of a penalty in excess of the fine as stated in the schedule including disciplinary action and termination of MLS services

2nd offense of a rule results in 2 x fine

| <u>Section</u> | <u>Summary</u>   | <u>Fine Amount</u>          |
|----------------|--|-----------------------------|
| 7.2            | Failure to notify Participants of exclusions to exclusive right listings   | \$500                       |
| 7.3.1          | Multiple property entries.<br>Failure to follow variance procedures  | \$500                       |
| 7.5            | Late submission or failure to submit exclusive right or exclusive agency listing within 48 hours on one to four unit residential and vacant lots within San Diego County | \$100/day<br>\$1000 maximum |
| 7.6            | Failure to submit Authorization to Exclude Form signed by the sellers within 48 hours  | \$100/day<br>\$1000 maximum |
| 7.8            | Failure to submit status change or late submission within 24 hours   | \$100/day<br>\$1000 maximum |
| 7.9            | Listings that are marked withdrawn may not be reentered into the system as new within 30 days by the same brokerage  | \$500                       |
| 7.11           | Detail on listings – As outlined by rules  | \$250 per item              |
| 7.11.2         | Variable Range Listing language shall be included in the Mandatory Remarks section based on list price   | \$250                       |
| 7.12           | Unilateral Contractual Offer of Compensation   | \$1000                      |
| 7.16           | Changes to offer of compensation   | \$1000                      |
| 7.17           | Failure to disclose interest by a Broker Participant or R.E. Subscriber in the "confidential remarks" section  | \$1000                      |
| 7.18           | Failure to publish all properties capable of being sold separately   | \$100 per property          |
| 7.19           | Failure to obtain written authorization from seller before filing an extension or renewal  | \$1000                      |
| 7.22           | Failure to disclose existence of a dual or variable commission arrangement   | \$500                       |

**APPENDIX B**

|                |  |                                     |
|----------------|--|-------------------------------------|
| <b>8.1</b>     | <b>Failure to obtain written authorization to submit listing to the MLS as outlined in rule 8.1</b>                                | <b>\$1000</b>                       |
| <b>8.2</b>     | <b>Failure to provide written documentation upon request of the service within 24 hours</b>  | <b>\$1000 or suspension</b>         |
| <b>8.3</b>     | <b>Accuracy of information</b>   | <b>\$500 per item</b>               |
| <b>9.1</b>     | <b>Failure to conduct appointments for showings</b>  | <b>\$500</b>                        |
| <b>9.2</b>     | <b>Presentation of offers</b>  | <b>\$1000</b>                       |
| <b>9.3</b>     | <b>Submission of offers</b>  | <b>\$1000</b>                       |
| <b>9.5</b>     | <b>Change of Compensation Offer by Cooperating Broker</b>  | <b>\$500</b>                        |
| <b>10.1</b>    | <b>Failure to report or late reporting of all sales</b>  | <b>\$100/day<br/>\$1000 maximum</b> |
| <b>10.1.2</b>  | <b>Failure to report a Sold Final within 24 hours of C/O/E with correct sales price</b>  | <b>\$100/day<br/>\$1000 maximum</b> |
| <b>10.2</b>    | <b>Failure to report cancellation of any Pending sale within 24 hours</b>  | <b>\$50/day<br/>\$500 maximum</b>   |
| <b>10.4</b>    | <b>Listings that are marked cancelled may not be reentered into the system as new within 30 days by the same brokerage</b>         | <b>\$500</b>                        |
| <b>11.7</b>    | <b>Media must be of subject property, view from property or amenities. Written permission required for use of media</b>            | <b>\$500</b>                        |
| <b>11.7.1</b>  | <b>Failure to submit photograph or rendering within seventy-two (72) hours</b>   | <b>\$150</b>                        |
| <b>12.5</b>    | <b>Misuse of remarks and Supplemental Remarks. Comments should relate to physical attributes of subject property and community</b> | <b>\$250</b>                        |
| <b>12.6</b>    | <b>Improper placement of “For Sale” signs on a property</b>  | <b>\$250</b>                        |
| <b>12.7</b>    | <b>Improper “SOLD” signs &amp; use of term “SOLD”</b>  | <b>\$500</b>                        |
| <b>12.8</b>    | <b>Failure to obtain prior written consent of the listing broker when advertising their listing</b>                                | <b>\$500</b>                        |
| <b>12.9</b>    | <b>Advertising disclaimer</b>  | <b>\$500</b>                        |
| <b>12.10</b>   | <b>False or misleading advertising</b>   | <b>\$500</b>                        |
| <b>12.10.1</b> | <b>False or misleading statements</b>  | <b>\$500</b>                        |

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|----------------|--|--------------------------|
| <b>12.11</b>   | <b>Unauthorized Use of MLS information</b>   | <b>\$1000</b>            |
| <b>12.12</b>   | <b>Unauthorized use of security pass codes</b>   | <b>\$1000 per access</b> |
| <b>12.15.1</b> | <b>Reproduction of information: As outlined</b>  | <b>\$100 per item</b>    |
| <b>12.15.2</b> | “ “ “ “  | <b>\$1000 maximum</b>    |
| <b>12.15.3</b> | “ “ “ “  |                          |
| <b>12.15.4</b> | “ “ “ “  |                          |
| <b>12.15.5</b> | “ “ “ “  |                          |
| <b>12.16</b>   | <b>Misuse of MLS data on public website</b>  | <b>\$500</b>             |
| <b>13.2</b>    | <b>Use of a Smart Card other than the registered owner</b>   | <b>\$2500</b>            |
| <b>13.5</b>    | <b>Unauthorized placement of lockbox without written authority of seller and occupant</b>                      | <b>\$1000</b>            |
| <b>13.6</b>    | <b>Unauthorized entrance to a property without the listing broker’s permission. Misuse of lockbox contents</b> | <b>\$500</b>             |